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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE - PATENT

In re the patent of: **Kyu-Nung Chung, et al.**

Patent No.: 6,046,230

Issue Date: April 4, 2000

Serial No.: 09/400,343

Filed: September 20, 1999

For: **STABLE INJECTION FORMULATION CONTAINING PACLITAXEL**

STATEMENT UNDER 37 CFR 3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450


September 7, 2012

Enclosed herewith is a **Statement under 37 CFR 3.73(b)** in response to the Decision on
Petition Mailed August 27, 2012.

In the event that any fees are due in connection with this paper, please charge our Deposit
Account No. 01-2340.

Respectfully submitted,

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: KYU-NUNG CHUNG

Application No./Patent No.: 6,046,230

Filing/Issue Date: 04/04/2000

Title:

AN IMPROVED STABLE INJECTION FORMULATION CONTAINING PACLITAXEL

KYU-NUNG CHUNG

a INDIVIDUAL

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in:
2. ☐ an assignee of less than the entire right, title, and interest in:
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Rec: 010261, Frame 0383, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Rec: _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

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Rec: _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 5, to record the assignment in its records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

KYU-NUNG CHUNG

Assignee

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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